U.S. Patent App. Serial No. 10/773,980

Filed: February 6, 2004

Page 2 of 19

Amendments to the Claims:

This listing of claims will replace all prior versions, and listings, of claims in the

application. Please amend Claims 1, 7, 12, 27, 44, and 48 as indicated in the following

Listing of Claims.

Listing of Claims:

1. (Currently amended) An Alq₃-functionalized compound comprising a <u>an olefinic</u>,

acetylenic, or diolefinic polymerizable moiety and an Alq3-moiety, wherein q, in each

instance, comprises an 8-hydroxyquinoline residue.

2. (Original) The Alq₃-functionalized compound of Claim 1, wherein the Alq₃-moiety is

functionalized with at least one electron-donating group, at least one electron-withdrawing

group, or a combination thereof.

3. (Original) The Alq₃-functionalized compound of Claim 1, wherein the Alq₃-moiety is

functionalized with at least one group independently selected from: a hydrocarbyl group, an

oxygen group, a sulfur group, a nitrogen group, a phosphorus group, an arsenic group, a

carbon group, a silicon group, a germanium group, a tin group, a lead group, a boron group,

an aluminum group, an inorganic group, an organometallic group, or a substituted analog

thereof, any one of which having from 1 to about 30 carbon atoms; a halide; hydrogen; or

any combination thereof.

Amendment & Response to Office Action mailed October 18, 2005 U.S. Patent App. Serial No. 10/773,980

Filed: February 6, 2004

Page 3 of 19

4. (Original) The Alq₃-functionalized compound of Claim 1, wherein the compound has

$$(CH_2)_5NHCH_2 \longrightarrow \begin{pmatrix} V_n & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & &$$

wherein Y and Z are independently selected from -F, -Cl, -Br, -I, -R¹, -CR¹=O, -CH=CHC(O)R¹, -C(O)R¹, -C(O)OR¹, -CN, -C(NR¹)R¹, -C(NR¹)OR¹, -CH₂C₆H₄X, -CH₂C₆H₃X₂, -CH₂C₆H₄R¹, -CH₂C₆H₃R¹₂, -CH₂CH₂C₆H₄X, -CH₂CH₂C₆H₃X₂, CH₂CH₂C₆H₄R¹, -CH₂CH₂C₆H₃R¹₂, -CH=CR¹₂, -C=CR¹, -OR¹, -OC(O)R¹, -SiR¹₃, -OSiR¹₃, -NO₂, -NR¹₂, -N₃, -N=CR¹₂, -N=NR¹, -SR¹, -SX, -OSO₂R¹, -OSO₂OR¹, -SCN, -SO₂R¹, -PR¹₂, -PX₂, -P(O)R¹₂, -P(O)(OR¹)₂, -OSiR¹₃, -OPR¹₂, -OAIR¹₂, -AsR¹₂, -As(O)R¹₂, -As(O)R¹₂, -As(O)R¹₂, -OAIX₂, -OSiX₃, -OPX₂, -OSO₂X, -AsX₂, or -As(O)X₂;

wherein R¹, in each instance, is independently selected from H or a substituted or unsubstituted hydrocarbyl group having from 1 to about 30 carbon atoms;

wherein X, in each instance, is independently selected from F, Cl, Br, I, H, OR¹, -SR¹, or NR¹₂; and

wherein n and m are independently selected from an integer from 0 to 3.

5. (Original) The Alq₃-functionalized compound of Claim 1, wherein the compound is selected from:

U.S. Patent App. Serial No. 10/773,980

Filed: February 6, 2004

Page 5 of 19

6. (Original) A light-emitting diode comprising the polymerization product of the Alq₃-

functionalized compound of Claim 1.

7. (Currently amended) A composition comprising the polymerization product of an

Alq3-functionalized monomer, wherein the Alq3-functionalized monomer comprises a an

olefinic, acetylenic, or diolefinic polymerizable moiety and an Alq3-moiety, and wherein q,

in each instance, comprises an 8-hydroxyquinoline residue.

8. (Original) The composition of Claim 7, wherein the polymerization product is

substantially non-crosslinked.

9. (Original) The composition of Claim 7, wherein the Alq₃-moiety is functionalized

with at least one electron-donating group, at least one electron-withdrawing group, or a

combination thereof.

10. (Currently amended) The composition of Claim 7, wherein the polymerizable moiety

comprises is norbornene.

11. (Original) A light-emitting diode comprising the composition of Claim 7.

12. (Currently amended) A composition comprising the polymerization product of at least

one Alq₃-functionalized monomer and at least one comonomer, wherein the Alq₃-

functionalized monomer comprises a an olefinic, acetylenic, or diolefinic polymerizable

moiety and an Alq₃-moiety, and wherein q, in each instance, comprises an 8-

hydroxyquinoline residue.

U.S. Patent App. Serial No. 10/773,980

Filed: February 6, 2004

Page 6 of 19

13. (Currently amended) The composition of Claim 12, wherein the polymerizable

moiety comprises is norbornene, norbornadiene, cyclopentene, cyclooctene, cyclooctadiene,

or a substituted analog thereof.

14. (Currently amended) The composition of Claim 12, wherein the polymerizable

moiety comprises is norbornene or a substituted analog thereof.

15. (Original) The composition of Claim 12, wherein the Alq₃-moiety is functionalized

with at least one electron-donating group, at least one electron-withdrawing group, or a

combination thereof.

16. (Original) The composition of Claim 12, wherein the Alq₃-moiety is functionalized

with at least one group independently selected from: a hydrocarbyl group, an oxygen group,

a sulfur group, a nitrogen group, a phosphorus group, an arsenic group, a carbon group, a

silicon group, a germanium group, a tin group, a lead group, a boron group, an aluminum

group, an inorganic group, an organometallic group, or a substituted analog thereof, any one

of which having from 1 to about 30 carbon atoms; a halide; hydrogen; or any combination

thereof.

17. (Original) The composition of Claim 12, wherein the Alq₃-moiety is functionalized

with at least one group independently selected from -F, -Cl, -Br, -I, -R¹, -CR¹=O, -

 $CH = CHC(O)R^{1}, -C(O)R^{1}, -C(O)OR^{1}, -CN, -C(NR^{1})R^{1}, -C(NR^{1})OR^{1}, -CH_{2}C_{6}H_{4}X, -C(NR^{1})OR^{1}, -C(NR^{1})OR^{1$

 $CH_2C_6H_3X_2$, $-CH_2C_6H_4R^1$, $-CH_2C_6H_3R^1_2$, $-CH_2CH_2C_6H_4X$, $-CH_2CH_2C_6H_3X_2$,

 $CH_2CH_2C_6H_4R^1$, $-CH_2CH_2C_6H_3R^1_2$, $-CH=CR^1_2$, $-C\equiv CR^1$, $-OR^1$, $-OC(O)R^1$, $-SiR^1_3$, $-OSiR^1_3$, $-OSiR^1_4$, -O

 NO_{2} , $-NR_{2}^{1}$, $-N_{3}$, $-N=CR_{2}^{1}$, $-N=NR_{1}^{1}$, $-SR_{1}^{1}$, -SX, $-OSO_{2}R_{1}^{1}$, $-OSO_{2}OR_{1}^{1}$, -SCN, $-SO_{2}R_{1}^{1}$, $-PR_{2}^{1}$,

 $-PX_2$, $-P(O)R^1_2$, $-P(OR^1)_2$, $-P(O)(OR^1)_2$, $-OSiR^1_3$, $-OPR^1_2$, $-OAlR^1_2$, $-AsR^1_2$, $-As(O)R^1_2$, -As(O

 $As(OR^{1})_{2}$, $-As(O)(OR^{1})_{2}$, SnR^{1}_{3} , $OSnR^{1}_{3}$, SnX^{1}_{3} , $OSnX^{1}_{3}$, $-BR^{1}_{2}$, $-BX_{2}$, $-BR^{1}X$, $-SO_{2}X$, $-BX_{3}$, $-BX_{4}$, $-BX_{5}$,

OAIX₂, -OSiX₃, -OPX₂, -OSO₂X, -AsX₂, or -As(O)X₂; wherein R¹, in each instance, is

U.S. Patent App. Serial No. 10/773,980

Filed: February 6, 2004

Page 7 of 19

independently selected from H or a substituted or unsubstituted hydrocarbyl group having

from 1 to about 30 carbon atoms; and wherein X, in each instance, is independently selected

from F, Cl, Br, I, H, OR¹, -SR¹, or NR¹₂.

18. (Original) The composition of Claim 12, wherein the Alq₃-moiety is functionalized by

at least one group independently selected from alkyl, cycloalkyl, alkenyl, alkynyl, aryl,

aralkyl, formyl, acyl, imide, amide, imine, alkoxide, aryloxide, alkylthiolate, arylthiolate,

alkoxyalkyl, haloalkyl, carboxylate, or a substituted analog thereof, any one of which having

up to about 30 carbon atoms.

19. (Original) The composition of Claim 12, wherein the Alq₃-moiety is functionalized by

at least one group independently selected from methyl, ethyl, propyl, cyclopropyl, n-butyl,

tert-butyl, sec-butyl, isobutyl, cyclobutyl, amyl, isoamyl, pentyl, cyclopentyl, hexyl,

cyclohexyl, cycloheptyl, heptyl, octyl, cyclooctyl, nonyl, decyl, dodecyl, 2-ethylhexyl,

pentenyl, butenyl, benzyl, phenyl, tolyl, naphthyl, anthracenyl, F, Cl, Br, I, OMe, OEt, O-n-

Pr, O-i-Pr, O-n-Bu, O-t-Bu, O-s-Bu, OPh, OC₆H₄Me, OC₆H₃Me₂, NMe₂, NEt₂, NPh₂,

NHMe, NHEt, NHPh, -CH=O, -CH=CHC(O)Ph, or a substituted analog thereof, any one of

which having up to about 30 carbon atoms.

20. (Original) The composition of Claim 12, wherein the Alq₃-functionalized monomer

further comprises a chemical spacer between the polymerizable moiety and the Alq3-moiety,

having between 1 and about 30 carbon atoms.

21. (Original) The composition of Claim 20, wherein the chemical spacer is selected from

-(CH₂)_nNHCH₂- or -(CH₂)_nNR¹CH₂-, wherein n is from 1 to about 12, and R¹ is selected

from a hydrocarbyl or substituted hydrocarbyl having from 1 to about 30 carbon atoms.

22. (Original) The composition of Claim 12, wherein the polymerization product

comprises a block copolymer or a random copolymer.

23. (Original) The composition of Claim 12, wherein the Alq₃-functionalized monomer is selected from:

$$(CH_2)_5NHCH_2 \longrightarrow 0$$

$$(CH_2)_$$

$$(CH_2)_5NHCH_2 \longrightarrow (CH_2)_5NHCH_2 \longrightarrow (CH_$$

U.S. Patent App. Serial No. 10/773,980

Filed: February 6, 2004

Page 9 of 19

$$(CH_2)_5NHCH_2 \longrightarrow (CH_2)_5NHCH_2 \longrightarrow (CH_$$

combination thereof.

24. (Original) The composition of Claim 12, wherein the at least one comonomer

comprises a compound with the formula $(CH_2)_n$, wherein n is an integer from 1 to about 12.

- 25. (Original) The composition of Claim 12, wherein the polymerization product is characterized by a polydispersity (Mw/Mn) from about 1.5 to about 1.8.
- 26. (Original) A light-emitting diode comprising the composition of Claim 12.
- 27. (Withdrawn Currently amended) A method of making an Alq₃-functionalized polymer, comprising:

polymerizing an Alq₃-functionalized monomer in the presence or absence of at least one comonomer;

wherein the Alq₃-functionalized monomer comprises a <u>an olefinic</u>, acetylenic, or diolefinic polymerizable moiety and an Alq₃-moiety; and

wherein q, in each instance, comprises an 8-hydroxyquinoline residue.

28. (Withdrawn) The method of Claim 27, wherein the Alq₃-functionalized monomer is polymerized in the presence of at least one comonomer.

U.S. Patent App. Serial No. 10/773,980

Filed: February 6, 2004

Page 10 of 19

29. (Withdrawn) The method of Claim 27, wherein the Alq₃-functionalized monomer is

polymerized in the presence of at least one comonomer, and wherein the molar ratio of Alq₃-

functionalized monomer to comonomer is from about 1:1 to about 1:100.

30. (Withdrawn) The method of Claim 27, wherein the Alq₃-functionalized monomer is

polymerized in the presence of at least one comonomer comprising

 CH_3 (CH_2)_n , wherein

n is an integer from 1 to about 12.

- 31. (Withdrawn) The method of Claim 27, wherein the polymerizable moiety of the Alq₃-functionalized monomer is selected from norbornene, norbornadiene, cyclopentene, cyclooctene, cyclooctadiene, or a functionalized analog thereof.
- 32. (Withdrawn) The method of Claim 27, wherein the method comprises a ring-opening metathesis polymerization (ROMP) method.
- 33. (Withdrawn) The method of Claim 27, wherein the method comprises a radical polymerization method or a living radical polymerization method.
- 34. (Withdrawn) The method of Claim 27, wherein the polymerization is conducted in the presence of a catalyst comprising a transition metal carbene compound.
- 35. (Withdrawn) The method of Claim 27, wherein the polymerization is conducted in the presence of a catalyst comprising Ru(CHPh)Cl₂[CHN₂(mesityl)₂C₂H₄](PCy₃).
- 36. (Withdrawn) The method of Claim 27, wherein the Alq₃-moiety is functionalized with at least one group independently selected from: a hydrocarbyl group, an oxygen group, a sulfur group, a nitrogen group, a phosphorus group, an arsenic group, a carbon group, a

U.S. Patent App. Serial No. 10/773,980

Filed: February 6, 2004

Page 11 of 19

silicon group, a germanium group, a tin group, a lead group, a boron group, an aluminum group, an inorganic group, an organometallic group, or a substituted analog thereof, any one of which having from 1 to about 30 carbon atoms; a halide; hydrogen; or any combination thereof.

- (Withdrawn) The method of Claim 27, wherein the Alq₃-moiety is functionalized with 37. at least one group independently selected from -F, -Cl, -Br, -I, -R¹, -CR¹=O, - $CH=CHC(O)R^{1}$, $-C(O)R^{1}$, $-C(O)OR^{1}$, -CN, $-C(NR^{1})R^{1}$, $-C(NR^{1})OR^{1}$, $-CH_{2}C_{6}H_{4}X$, $-C(NR^{1})OR^{1}$, $-C(NR^{1}$ $-CH_2C_6H_3R^1_2$, $-CH_2CH_2C_6H_4X$, $CH_2C_6H_3X_2$, -CH₂C₆H₄R¹, -CH₂CH₂C₆H₃X₂, $CH_2CH_2C_6H_4R^1$, $-CH_2CH_2C_6H_3R^1_2$, $-CH=CR^1_2$, $-C\equiv CR^1$, $-OR^1$, $-OC(O)R^1$, $-SiR^1_3$, $-OSiR^1_3$, -O NO_{2} , $-NR^{1}_{2}$, $-N_{3}$, $-N=CR^{1}_{2}$, $-N=NR^{1}$, $-SR^{1}$, -SX, $-OSO_{2}R^{1}$, $-OSO_{2}OR^{1}$, -SCN, $-SO_{2}R^{1}$, $-PR^{1}_{2}$, $-PX_2$, $-P(O)R^1_2$, $-P(OR^1)_2$, $-P(O)(OR^1)_2$, $-OSiR^1_3$, $-OPR^1_2$, $-OAlR^1_2$, $-AsR^1_2$, $-As(O)R^1_2$, -As(O $As(OR^1)_2$, $-As(O)(OR^1)_2$, SnR^1_3 , $OSnR^1_3$, SnX^1_3 , $OSnX^1_3$, $-BR^1_2$, $-BX_2$, $-BR^1X$, $-SO_2X$, -OAIX₂, -OSiX₃, -OPX₂, -OSO₂X, -AsX₂, or -As(O)X₂; wherein R¹, in each instance, is independently selected from H or a substituted or unsubstituted hydrocarbyl group having from 1 to about 30 carbon atoms; and wherein X, in each instance, is independently selected from F, Cl, Br, I, H, OR¹, -SR¹, or NR¹₂.
- 38. (Withdrawn) The method of Claim 27, wherein the Alq₃-moiety is functionalized by at least one group independently selected from alkyl, cycloalkyl, alkenyl, alkynyl, aryl, aralkyl, formyl, acyl, imide, amide, imine, alkoxide, aryloxide, alkylthiolate, arylthiolate, alkoxyalkyl, haloalkyl, carboxylate, or a substituted analog thereof, any one of which having up to about 30 carbon atoms.
- 39. (Withdrawn) The method of Claim 27, wherein the Alq₃-moiety is functionalized by at least one group independently selected from methyl, ethyl, propyl, cyclopropyl, n-butyl, tert-butyl, sec-butyl, isobutyl, cyclobutyl, amyl, isoamyl, pentyl, cyclopentyl, hexyl, cyclohexyl, cycloheptyl, heptyl, octyl, cycloctyl, nonyl, decyl, dodecyl, 2-ethylhexyl,

U.S. Patent App. Serial No. 10/773,980

Filed: February 6, 2004

Page 12 of 19

pentenyl, butenyl, benzyl, phenyl, tolyl, naphthyl, anthracenyl, F, Cl, Br, I, OMe, OEt, O-n-Pr, O-i-Pr, O-n-Bu, O-t-Bu, O-s-Bu, OPh, OC₆H₄Me, OC₆H₃Me₂, NMe₂, NEt₂, NPh₂, NHMe, NHEt, NHPh, -CH=O, -CH=CHC(O)Ph, or a substituted analog thereof, any one of which having up to about 30 carbon atoms.

- 40. (Withdrawn) The method of Claim 27, wherein the Alq₃-functionalized monomer further comprises a chemical spacer between the polymerizable moiety and the Alq₃-moiety, having between 1 and about 30 carbon atoms.
- 41. (Withdrawn) The method of Claim 40, wherein the chemical spacer is selected from $(CH_2)_nNHCH_2$ or - $(CH_2)_nNR^1CH_2$ -, wherein n is from 1 to about 12, and R^1 is selected from a hydrocarbyl or substituted hydrocarbyl having from 1 to about 30 carbon atoms.
- 42. (Withdrawn) The method of Claim 27, wherein the polymerization product comprises a block copolymer.
- 43. (Withdrawn) The method of Claim 27, wherein the Alq₃-functionalized monomer is selected from:

$$(CH_2)_5NHCH_2$$
 $(CH_2)_5NHCH_2$
 $(CH_2)_5NHCH_2$
 $(CH_2)_5NHCH_2$
 $(CH_2)_5NHCH_2$
 $(CH_2)_5NHCH_2$

$$(CH_2)_5NHCH_2 \longrightarrow (CH_2)_5NHCH_2 \longrightarrow (CH_$$

U.S. Patent App. Serial No. 10/773,980

Filed: February 6, 2004

Page 13 of 19

$$(CH_2)_5NHCH_2 \longrightarrow (CH_2)_5NHCH_2 \longrightarrow (CH_$$

combination thereof.

44. (Withdrawn – Currently amended) A method of functionalizing a polymer with an Alq₃ moiety, comprising:

providing an Alq3-functionalized monomer; and

polymerizing an Alq₃-functionalized monomer in the presence or absence of at least one comonomer;

wherein the Alq₃-functionalized monomer comprises a <u>an olefinic</u>, <u>acetylenic</u>, <u>or</u> <u>diolefinic</u> polymerizable moiety and an Alq₃-moiety; and

wherein q, in each instance, comprises an 8-hydroxyquinoline residue.

U.S. Patent App. Serial No. 10/773,980

Filed: February 6, 2004

Page 14 of 19

$$(CH_2)_nNR^1CH_2$$
 OH

- 45. (Original) A compound having the formula from 1 to about 12; and R¹ is selected from H, a hydrocarbyl, or a substituted hydrocarbyl having from 1 to about 30 carbon atoms.
- 46. (Original) A composition comprising the polymerization product of:

- 1) a compound having the formula about 12; and R¹ is selected from H, a hydrocarbyl, or a substituted hydrocarbyl having from 1 to about 30 carbon atoms; and
 - 2) at least one optional comonomer having up to about 30 carbon atoms.
- (Original) The composition of Claim 46, wherein the at least one comonomer 47.

comprises a compound with the formula about 12.

 CH_3 (CH_2)_n, wherein n is an integer from 1 to

- (Currently amended) An Mq_n-functionalized compound comprising a <u>an olefinic</u>, 48. acetylenic, or diolefinic polymerizable moiety and an Mq_n-moiety, wherein q, in each instance, comprises an 8-hydroxyquinoline residue, and M is selected from Mg, Zn, Al, Ga, or In; and n is selected from 2 or 3 according to the valence of the metal.
- 49. (Original) The Mq_n-functionalized compound of Claim 48, wherein the Mq_n-moiety is functionalized with at least one electron-donating group, at least one electron-withdrawing group, or a combination thereof.

U.S. Patent App. Serial No. 10/773,980

Filed: February 6, 2004

Page 15 of 19

has the formula

- 50. (Original) The Mq_n-functionalized compound of Claim 48, wherein the Mq_n-moiety is functionalized with at least one group independently selected from: a hydrocarbyl group, an oxygen group, a sulfur group, a nitrogen group, a phosphorus group, an arsenic group, a carbon group, a silicon group, a germanium group, a tin group, a lead group, a boron group, an aluminum group, an inorganic group, an organometallic group, or a substituted analog thereof, any one of which having from 1 to about 30 carbon atoms; a halide; hydrogen; or any combination thereof.
- 51. (Original) The Mq_n-functionalized compound of Claim 48, wherein the compound

$$(CH_2)_5NHCH_2 \longrightarrow O \qquad N \qquad \qquad N$$

wherein Y and Z are independently selected from -F, -Cl, -Br, -I, -R¹, -CR¹=O, -CH=CHC(O)R¹, -C(O)R¹, -C(O)OR¹, -CN, -C(NR¹)R¹, -C(NR¹)OR¹, -CH₂C₆H₄X, -CH₂C₆H₃X₂, -CH₂C₆H₄R¹, -CH₂C₆H₃R¹₂, -CH₂CH₂C₆H₄X, -CH₂CH₂C₆H₃X₂, CH₂CH₂C₆H₄R¹, -CH₂CH₂C₆H₃R¹₂, -CH=CR¹₂, -C≡CR¹, -OR¹, -OC(O)R¹, -SiR¹₃, -OSiR¹₃, -NO₂, -NR¹₂, -N₃, -N=CR¹₂, -N=NR¹, -SR¹, -SX, -OSO₂R¹, -OSO₂OR¹, -SCN, -SO₂R¹, -PR¹₂, -PX₂, -P(O)R¹₂, -P(O)(OR¹)₂, -OSiR¹₃, -OPR¹₂, -OAlR¹₂, -AsR¹₂, -As(O)R¹₂, -As(O)R¹₂, -As(O)R¹₂, -OAlX₂, -OSiX₃, -OPX₂, -OSO₂X, -AsX₂, or -As(O)X₂;

wherein R¹, in each instance, is independently selected from H or a substituted or unsubstituted hydrocarbyl group having from 1 to about 30 carbon atoms;

wherein X, in each instance, is independently selected from F, Cl, Br, I, H, OR¹, -SR¹, or NR¹; and

wherein q and m are independently selected from an integer from 0 to 3.

52. (Original) The Mq_n-functionalized compound of Claim 48, wherein:

U.S. Patent App. Serial No. 10/773,980

Filed: February 6, 2004

Page 16 of 19

$$(CH_2)_5NH\cdot CH_2 \longrightarrow O$$
 the compound has the formula

OH.

X is selected from an 8-hydroxyquinoline residue selected from

$$\bigcap_{N \to \infty} \bigcap_{M \in \mathbb{N}} \bigcap_{C_{1}} \bigcap_{N \to \infty} \bigcap$$

wherein the 8-hydroxyquinoline residue has been deprotonated.

53. (Withdrawn) A method of making a Mq_n-functionalized polymer, comprising: preparing a q_n-functionalized monomer;

polymerizing the monomer in the presence or absence of a comonomer to form a q_n -functionalized polymer; and

reacting the polymer with a metal complex to form a Mq_n -functionalized polymer; wherein M is selected from Mg, Zn, Al, Ga, or In; and n is selected from 2 or 3 according to the valence of the metal.